

No- J-12011/12/2018-IA-I (R)
Ministry of Environment, Forests and Climate Change
Government of India
[IA-I Division]

Indira Paryavaran Bhawan
3rd Floor, Vayu Wing
Jor Bagh Road
New Delhi-110003

Dated: 10th July, 2018

CORRIGENDUM

Subject: Pinnapuram HEP (1000 MW) Integrated Renewable Energy with Pumped Storage Project in Karnool District of Andhra Pradesh by M/s. Greenko Energies Pvt. Ltd. - regd.

Reference: (1) TOR letter dated 17.5.2018 (2) Your letter of Nil number dated 30.5.2018.

With reference to the subject cited above, it is to inform that the Ministry has reviewed the content of your letter and the following correction at Sl.No.4 of the ToR letter has been made and it may be read as:

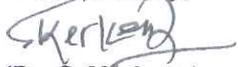
1. *"The scheme envisages non-consumptive re-utilization of 1 TMC of water of the Gorakallu reservoir by circulation. The water in the Gorakallu reservoir (lower reservoir) will be pumped up and stored in the Pinnapuram reservoir IRESP reservoir (upper reservoir) and will be utilized for power generation. The proposed rating of the Pinnapuram pump storage project is 1000 MWH by utilizing a design discharge of 862.50 cumec and rated head of 142.75 m. The pinnapuram IRESP will utilize 1140 MW to pump 1 TMC of water to the upper reservoir in 9.2 hours"*

Instead of

"The scheme envisages non-consumptive re-utilization of 1 TMC of water of the Renuka Sagar reservoir by circulation. The water in the Renuka Sagar reservoir (lower reservoir) will be pumped up and stored in the Saundatti IRESP reservoir (upper reservoir) and will be utilized for power generation. The proposed rating of the Saundatti pump storage project is 1200 MWH by utilizing a design discharge of 925.68 cumec and rated head of 149.82 m. The pinnapuram IRESP will utilize 1360 MW to pump 1 TMC of water to the upper reservoir in 9.2 hours"

2. Annexure is a Standard TOR for River Valley Projects and cannot be modified.
3. All other terms and conditions of the Scoping /ToR Clearance stipulated in Letter No. J-12011/12/2018-IA.I (R) dated 17.5.2018 shall remain unchanged.
4. This issues with the approval of Competent Authority.

Yours faithfully,


(Dr. S. Kerketta)
Director

Copy to:

1. Secretary, Ministry of Water Resources, Shram Shakti Bhawan, Rafi Marg, New Delhi – 1.
2. The Secretary, Ministry of Power, Shram Shakti Bhawan, Rafi Marg, New Delhi -1.



No. J-12011/12/2018-IA.I(R)
Ministry of Environment, Forest & Climate Change
Government of India

Indira Paryavaran Bhawan
3rd Floor, Vayu Wing
Jor Bagh Road
New Delhi-110 003.
Date: 17th May, 2018

To,

The Authorised Signatory
M/s Greenko Energies Private Limited
Plot no.1071, Road No.44,
Jubilee Hills, Hyderabad-500033, Telangana.

Sub: 1000 MW Pinnapuram HEP (Integrated Renewable Energy with Pumped Storage Project) near Village Pinnapuram in Karnool District of Andhra Pradesh by M/s Greenko Energies Private Limited.- reg. Terms of Reference (ToR).

Sir,

This has reference to online application no. IA/AP/RIV/74608/2018 dated 16.4.2018 on the above mentioned subject.

2. It has been noted that Proposed Pinnapuram Integrated Renewable Energy with Storage Project (IRESP) located in Kurnool District of Andhra Pradesh which will have a 4 GW project i.e. 2 GW of Solar Project and 2 GW of wind project with storage capacity of 1000/ 8000 MWH. The Storage Project will comprise of two reservoirs i.e. Gorakallu Reservoir (already existing) and Pinnapuram Reservoir (to be constructed in natural depression). This project is a one of its kind because both the reservoirs are not located on river course i.e. Gorakallu reservoir (existing) is a balancing reservoir and is located on a canal network and Proposed Pinnapuram reservoir in a natural depression. These reservoirs are far away from any river course.

3. It has been informed that the Pumped Storage Scheme stores energy in the form of gravitational potential energy of water, pumped from a lower elevation reservoir to a higher elevation. Low-cost surplus off-peak electric power generated from wind energy and solar energy is typically used to run the pumps. During periods of high electrical demand, the stored water is released through turbines to produce electric power. Although the losses of the pumping process make the plant a net consumer of energy overall, the system increases revenue by selling more electricity during periods of peak demand, when electricity prices are highest which will supply firm dispatchable renewable power to the grid for 24 hrs.

4. The scheme envisages non-consumptive re-utilization of 1 TMC of water of the Renuka Sagar reservoir by recirculation. The water in the Renuka Sagar reservoir (lower reservoir) will be pumped up and stored in the proposed Saundatti IRESP reservoir (upper Reservoir) and will be utilized for power generation. The Proposed Rating of the Saundatti Pump storage project is 1200 MWH by utilizing a design discharge of 925.68 Cumec and rated head of 149.82 m. The Saundatti IRESP will utilize 1360 MW to pump 1.0 TMC of water to the upper reservoir in 9.2 hours.

5. The Salient Features of the Project are as below:

1	Pinnapuram IRESP Reservoir -Upper (Now Proposed)	
	Catchment Area	9.45 sq. km
	Live Storage	1.00 TMC

	Dead Storage	0.32 TMC
	Gross Storage	1.32 TMC
	Full Reservoir level (FRL)	EL +392.00 m
	Dimensions of earthen dam (Length x Height x Width)	510.0 m x 49.0 m x 6.0 m
2	Gorakallu Lower Reservoir (Existing)	
	Live Storage	10.29 TMC (291.38 Mcum)
	Dead Storage	2.15 TMC (60.88 Mcum)
	Gross Storage	12.44 TMC (352.26 Mcum)
	Full Reservoir level (FRL)	EL +261.00 m
3	Power Intake	
	Type	Open Semi Circular
	Elevation of Intake center line	EL +357.25 m
4	Tail Race Tunnel	Concrete Lined
	Type of tunnel & Nos.	Modified Horse Shoe & Twin Tunnels
	Diameter & Length of each Tunnel	12.0 m & 3860m each
5	Powerhouse	
	Type	Underground 'D' Shape
	PH cavern Dimensions	L 270 m x B 25 m x H 49 m
6	Parameters of Storage Plant	
	Storage Capacity	8000 MWH
	Rating	1000 MWH
	No. of Units	6 (4 x 200 MW + 2 x 100 MW)
	Turbine Capacity	200 MW / 100 MW
	Total Design Discharge	862.50 Cumec
	Rated Head in Turbine mode	134.00 m
	Pump Capacity	220 MW / 130 MW

6. Total land required for the construction of various components is about 380.48 Ha including submergence by formation of Pinnapuram reservoir. About 283.38 Ha (Surface: 263 ha & Under Ground: 20 ha) out of 380.48 Ha is part of Gani forest under Kurnool Range. Application for diversion of forest land is yet to be submitted. There are no wildlife sanctuaries, national parks and other protected areas within 10 km radius of proposed project.

7. By constructing a 3860m long twin tunnel and Power house complex, Surge chamber, Transformer cavern, Pressure shaft etc. the quantity of muck to be generated is estimated to be about 73.40 lakh Cum. It is expected that about 37.71 lakh Cum of this will be used for making aggregates which will be used in construction of various roads and buildings. The rest will require to be disposed-off in a planned manner. It is proposed to dump about 18.00 Lakh Cum of muck in the reservoir bed as there is no water course is existing and the remaining quantity of muck is proposed to dump in 3 different locations of dumping sites and they are identified at suitable places.

8. The Pinnapuram IRESP is envisaged to be completed in a period of 3.5 years. The project cost works out to Rs. 4,829.22 Crores. About 400 workers and 100 technical staff are likely to work during the peak construction phase in the project area.

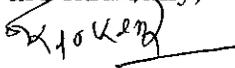
9. The above proposal was appraised by the Expert Appraisal Committee (EAC) for River Valley & Hydroelectric Power Projects (RV & HEP) in its 13th meeting held on 27.4.2018. The comments and observations of EAC may be seen in the Minutes of the meeting that are available on the Ministry's website.

10. In view of the recommendations made by the EAC (RV&HEP) in its 13th meeting held on 27.4.2018 and the information/clarifications submitted by you with regard to the above-mentioned project proposal, **the Ministry hereby accords a fresh clearance for pre-construction activities at the proposed site along with the following Terms of Reference (ToR) for the proposed project under Schedule 1(c) of the EIA Notification, 2006 and its amendments issued time to time, for the preparation of EIA/ EMP report:**

- a) The EIA/EMP report should contain the information in accordance with provisions & stipulations as given in the **Annexure-I**.
- b) The consultant engaged for preparation of EIA/EMP report has to be registered with Quality Council of India (QCI/ NABET under the scheme of Accreditation & Registration of MoEF. This is a pre-requisite.
- c) Consultant shall include a "Certificate" in EIA/EMP report regarding portion of EIA/EMP prepared by them and data provided by other organisation(s)/ laboratories including status of approval of such laboratories.
- d) The draft EIA/EMP report prepared as per **Annexure-I** should be submitted to the State Pollution Control Board Committee concerned for conducting Public Consultation as per the provisions stipulated in EIA Notification of 2006. Public Hearing, which is a component of Public Consultation, shall be held district wise at the site or in its close proximity as prescribed in Appendix (IV) of EIA Notification, 2006. The draft EIA/EMP report is to be submitted to SPCB etc. sufficiently before the expiry of the ToR validity so that necessary amendments in EIA/EMP can be undertaken based on public hearing and the same is submitted to MoEF&CC before expiry of validity.
- e) All issues discussed in the Public Hearing / Consultations should be addressed and incorporated in the EIA/EMP report. Final EIA/EMP report should be submitted to the Ministry for Environmental Clearance only after incorporating these issues before the expiry of validity of ToR.
- f) The ToR will remain valid for a period of 4 years from the date of issue of this letter for submission of EIA/EMP report along with public consultation. The ToR will stand lapsed on completion of 4 years in case final EIA/EMP is not submitted and the validity is not extended.
- g) In case of any change in the scope of the project such as capacity enhancement, change in submergence, etc., fresh scoping clearance has to be obtained by the project proponent.
- h) The PP should submit a copy of TEC of the DPR along with EIA/EMP report.
- i) Information pertaining to Corporate Environmental Responsibility and Environmental Policy shall be provided in the EIA/EMP Report as per this Ministry's OM No. 22-65/2017-IA.III dated 1.8.2018 (Reference as **Annexure-II**)
- j) The EIA/ EMP report must contain an Index showing details of compliance of all TOR conditions. the Index will comprise of page no. etc., vide which compliance of a specific ToR is available. It may be noted that without this index, EIA/ EMP report will not be accepted.
- k) In case the validity is to be extended, necessary application is to be submitted to Regulatory Authority before expiry of validity period together with an updated Form-I based on proper justification.

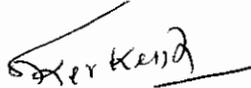
This has approval of the Competent Authority.

Yours faithfully,


(Dr. S. Kerketta)
Director, IA.I

Copy to:

1. The Secretary, Ministry of Power, Shram Shakti Bhawan, Rafi Marg, New Delhi 110001.
2. The Secretary, Ministry of Water Resources, Shram Shakti Bhawan, Rafi Marg, New Delhi - 1.
3. The Chairman, Central Electricity Authority, Sewa Bhawan, R.K. Puram, New Delhi-110066.
4. The Chief Engineer, Project Appraisal Directorate, Central Water Commission, Sewa Bhawan, R. K. Puram, New Delhi - 110 066.
5. The Chairman, Central Pollution Control Board, Parivesh Bhawan, CBD-cum-Office Complex, East Arjun Nagar, Delhi-110032.
6. The Additional Principal Chief Conservator of Forests (C), Ministry of Environment Forests and Climate Change, Regional Office, (SEZ), Ist and IInd Floor, Handloom Export Promotion Council, 34 Cathedral Garden Road, Nungambakkam, Chennai-600034.
7. The Principal Secretary Environment, Science and Technology, Government of Andhra Pradesh, Secretariat Office, 4th Block, Ground Floor, Room no.187, Velagapudi, Amaravathi-522238, Andhra Pradesh.
8. The Chairman, Andhra Pradesh State Pollution Control Board, D.No. 33-26-14 D/2, Near Sunrise Hospital, Pushpa Hotel Centre, Chalamvari Street, Kasturibaipet, Vijayawada - 520 008.
9. The District Collector, Karnool District, Collectorate Lane, Alluri Sitarama Raju Nagar, Opp. Govt. General Hospital, Kurnool, Andhra Pradesh 518002.
10. Guard file/Monitoring file.
11. Website of MoEF&CC.


(Dr. S. Kerketta)
Director, IA.I

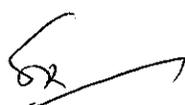
TERMS OF REFERENCE FOR CONDUCTING ENVIRONMENT IMPACT ASSESSMENT STUDY FOR 'A' CATEGORY RIVER VALLEY PROJECTS AND INFORMATION TO BE INCLUDED IN EIA/EMP REPORT

(1) Scope of EIA Studies

The EIA Report should identify the relevant environmental concerns and focus on potential impacts that may change due to the construction of proposed project. Based on the baseline data collected for three (3) seasons (Pre-monsoon, Monsoon and Winter seasons), the status of the existing environment in the area and capacity to bear the impact on this should be analyzed. Based on this analysis, the mitigation measures for minimizing the impact shall be suggested in the EIA/EMP study.

(2) Details of the Project and Site

- General introduction about the proposed project.
- Details of project and site giving L-sections of all U/S and D/S projects of River with all relevant maps and figures. Connect such information as to establish the total length of interference of Natural River and the committed unrestricted release from the site of diversion into the main river.
- A map of boundary of the project site giving details of protected areas in the vicinity of project location.
- Location details on a map of the project area with contours indicating main project features. The project layout shall be superimposed on a contour map of ground elevation showing main project features (viz. location of dam, Head works, main canal, branch canals, quarrying etc.) shall be depicted in a scaled map.
- Layout details and map of the project along with contours with project components clearly marked with proper scale maps of at least a 1:50,000 scale and printed at least on A3 scale for clarity.
- Existence of National Park, Sanctuary, Biosphere Reserve etc. in the study area, if any, should be detailed and presented on a map with distinct distances from the project components.
- Drainage pattern and map of the river catchment up to the proposed project site.
- Delineation of critically degraded areas in the directly draining catchment on the basis of silt Yield Index as per the methodology of All India Soil and Land Use Survey of India.
- Soil characteristics and map of the project area.
- Geological and seismo-tectonic details and maps of the area surrounding the proposed project site showing location of dam site and powerhouse site.
- Remote Sensing studies, interpretation of satellite imagery, topographic sheets along with ground verification shall be used to



develop the land use/land cover pattern of the study using overlaying mapping techniques viz. Geographic Information System (GIS), False Color composite (FCC) generated from satellite data of project area.

- Land details including forests, private and other land.
- Demarcation of snow fed and rain fed areas for a realistic estimate of the water availability.

(3) Description of Environment and Baseline Data

To know the present status of environment in the area, baseline data with respect to environmental components air, water, noise, soil, land and biology & biodiversity (flora & fauna), wildlife, socio-economic status etc. should be collected with 10 km radius of the main components of the project/site i.e. dam site and power house site. The air quality and noise are to be monitored at such locations which are environmentally & ecologically more sensitive in the study area. The baseline data should be collected for 3 seasons (Pre-Monsoon, Monsoon and Post Monsoon). Flora -Fauna in the Catchment and command area should be documented. The study area should comprise of the following:

- Catchment area up-to the dam site.
- Submergence Area
- Project area or the direct impact area should comprise of area falling within 10 km radius from the periphery of reservoir, land coming under submergence and area downstream of dam upto the point where Tail Race Tunnel (TRT) meets the river.

(4) Details of the Methodology

- The methodology followed for collection of base line data along with details of number of samples and their locations in the map should be included.
- Study area should be demarcated properly on the appropriate scale map.
- Sampling sites should be depicted on map for each parameter with proper legends.
- For forest classification, Champion and Seth (1968) classification should be followed.

(5) Methodology for collection of Biodiversity Data

- The number of sampling locations should be adequate to get a reasonable idea of the diversity and other attributes of flora and fauna. The guiding principles should be the size of the study area (larger area should have larger number of sampling locations) and inherent diversity at the location, as known from secondary sources (e.g. eastern Himalayan and low altitude sites should have a larger number of sampling locations owing to higher diversity).

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- The entire area should be divided in grids of 5km X 5km preferably on a GIS domain. There after 25% of the grids should be randomly selected for sampling of which half should be in the directly affected area (grids including project components such as reservoir, dam, powerhouse, tunnel, canal etc.) and the remaining in the rest of the area (areas of influence in 10 km radius form project components). At such chosen location, the size and number of sampling units (e.g. quadrats in case of flora/transects in case of fauna) must be decided by species area curves and the details of the same (graphs and cumulative number of species in a tabulated form) should be provided in the EIA report. Some of the grids on the edges may not be completely overlapping with the study area boundaries. However, these should be counted and considered for selecting 25% of the grids. The number of grids to be surveyed may come out as a decimal number (i.e. it has an integral and a fractional part) which should be rounded to the next whole number.
- The conventional sampling is likely to miss the presence of rare, endangered and threatened (R.E.T.) species since they often occur in low densities and in case of faunal species are usually secretive in behaviour. Reaching the conclusion about the absence of such species in the study area based on such methodology is misleading. It is very important to document the status of such species owing to their high conservation value. Hence likely presence of such species should be ascertained from secondary sources by a proper literature survey for the said area including referring to field guides which are now available for many taxonomic groups in India. Even literature from studies/surveys in the larger landscapes which include the study area for the concerned project must be referred to since most species from adjoining catchments is likely to be present in the catchments in question. In fact such literature form the entire state can be referred to. Once a listing of possible R.E.T. species form the said area is developed, species specific methodologies should be adopted to ascertain their presence in the study area which would be far more conclusive as compared to the conventional sampling. If the need be, modern methods like camera trapping can be resorted to, particularly for areas in the eastern Himalayas and for secretive/nocturnal species. A detailed listing of the literature referred to, for developing lists of R.E.T. species should be provided in the EIA reports.
- The R.E.T. species referred to in this point should include species listed in Schedule I and II of Wildlife (Protection) Act, 1972 and those listed in the red data books (BSI, ZSI and IUCN).

(6) Components of the EIA Study

Various aspects to be studied and provided in the EIA/EMP report are as follows:



A. Physical and Chemical Environment

(i) Geological & Geophysical Aspects and Seismo – Tectonics:

- Physical geography, Topography, Regional Geological aspects and structure of the Catchment.
- Tectonics, seismicity and history of past earthquakes in the area. A site specific study of the earthquake parameters will be done. The results of the site specific earthquake design shall be sent for approval of the NCSDP (National committee of Seismic Design Parameters, Central water commission, New Delhi for large dams.
- Landslide zone or area prone to landslide existing in the study area should be examined.
- Presence of important economic mineral deposit, if any.
- Justification for location & execution of the project in relation to structural components (dam height).
- Impact of project on geological environment.

(ii) Meteorology, Air and Noise:

- Meteorology (viz. Temperature, Relative humidity, wind speed/direction etc.) to be collected from nearest IMD station.
- Ambient Air Quality with parameters viz. Suspended Particulate Matter (SPM), Respirable Suspended Particulate Matter (RSPM) i.e. suspended particulate materials <10 microns, Sulphur Dioxide (SO₂) and Oxides of Nitrogen (NO_x) in the study area at 6 locations.
- Existing noise levels and traffic density in the study area at 6 locations.

(iii) Soil Characteristics

- Soil classification, physical parameters (viz., texture, porosity, bulk density and water holding capacity) and chemical parameters (viz. pH, electrical conductivity, magnesium, calcium, total alkalinity, chlorides, sodium, potassium, organic carbon, available potassium, available phosphorus, SAR, nitrogen and salinity, etc.) (6 locations).

(iv) Remote sensing and GIS Studies

- Generation of thematic maps viz., slope map, drainage map, soil map, land use and land cover map, etc. Based on these, thematic maps, an erosion intensity map should be prepared.
- New configuration map to be given in the EIA Report.

(v) Water Quality

- History of the ground water table fluctuation in the study area.
- Water quality for both surface water and ground water for (i) Physical parameters (pH, temperature, electrical conductivity,

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- TSS); (ii) Chemical parameters (Alkalinity, Hardness, BOD, COD, NO₂, PO₄, Cl, SO₄, Na, K, Ca, Mg, Silica, Oil & Grease, phenolic compounds, residual sodium carbonate); (iii) Bacteriological parameter (MPN, Total coliform) and (iv) Heavy Metals (Pb, As, Hg, Cd, Cr-6, total Cr, Cu, Zn, Fe) (10 locations).
- Delineation of sub and micro-watersheds, their locations and extent based on the All India Soil and Land Use Survey of India (AISLUS), Department of Agriculture, Government of India. Erosion levels in each micro-watershed and prioritization of micro-watershed through silt yield index (SYI) method of AISLUS.

B. Water Environment & Hydrology

- Hydro-Meteorology of the project viz. precipitation (snowfall, rainfall), temperature, relative humidity, etc. Hydro-meteorological studies in the catchment area should be established along-with real time telemetry and data acquisition system for inflows monitoring.
- Run off, discharge, water availability for the project, sedimentation rate, etc.
- Basin characteristics
- Catastrophic events like cloud bursts and flash floods, if any, should be documented.
- For estimation of Sedimentation Rate, direct sampling of river flow is to be done during the EIA study. The study should be conducted for minimum one year. Actual silt flow rate to be expressed in ha-m km² year⁻¹.
- Sedimentation data available with CWC may be used to find out the loss in storage over the years.
- Set up a G&D monitoring station and a few rain gauge stations in the catchment area for collecting data during the investigation.
- Flow series, 10 daily with 90%, 75% and 50% dependable years discharges.
- A table of 10-daily water discharges corresponding to 90% dependable year showing the intercepted discharge at the barrage, the environmental flow to be released and the other flow releases downstream of the barrage and spills to be provided in hydrology section of EIA.
- Norms for release of Environmental flows, i.e. 30% in monsoon season, 20% in lean season and 25% in non-monsoon & non-lean season to be followed corresponding to 90% dependable year. A site specific study on minimum environment flow should be carried out.
- Hydrological studies/data as approved by CWC shall be utilized in the preparation of EIA/EMP report. Actual hydrological annual yield may also be given in the report.

- A minimum of 1 km distance from the tip of the reservoir to the tail race tunnel should be maintained between upstream and downstream projects.

C. Biological Environment

Besides primary studies, review of secondary data/literature published for project area on flora & fauna including RET species shall be reported in EIA/EMP report.

(i) Flora

- Characterization of forest types (as per Champion and Seth method) in the study area and extent of each forest type as per the Forest Working Plan.
- Documentation of all plant species i.e. Angiosperm, Gymnosperm, Pteridophytes, Bryophytes, Lichens (all groups). All species list may be provided.
- General vegetation profile and floral diversity covering all groups of flora including lichens and orchids. A species wise list may be provided.
- Assessment of plant species with respect to dominance, density, frequency, abundance, diversity index, similarity index, importance value index (IVI), Shannon Weiner index etc. of the species to be provided. Methodology used for calculating various diversity indices along with details of locations of quadrates, size of quadrates etc. to be reported within the study area in different ecosystems.
- Existence of National park, Sanctuary, Biosphere Reserve etc in the study area, if any, should be detailed.
- Economically important species like medicinal plants, timber, fuel wood etc.
- Details of endemic species found in the project area.
- Flora under RET categories should be documented using International Union for the Conservation of Nature and Natural Resources (IUCN) criteria and Botanical Survey of India's Red Data list along-with economic significance. Species diversity curve for RET species should be given.
- Biodiversity study, a sub-component of EIA study, is to be carried-out by associating a reputed organisation/institution as recommended by WII, Dehradun or by ICFRE, Dehradun. A list of such institutes is available on MoEF's website.
- Cropping pattern and Horticultural Practices in the study area.

(ii) Fauna

- Fauna study and inventorisation should be carried out for all groups of animals in the study area. Their present status along-with Schedule of the species.

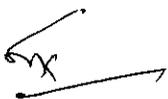
- Documentation of fauna plankton (phyto and zooplankton), periphyton, benthos and fish should be done and analysed.
- Information (authenticated) on Avi-fauna and wildlife in the study area.
- Status of avifauna their resident/ migratory/ passage migrants etc.
- Documentation of butterflies, if any, found in the area.
- Details of endemic species found in the project area.
- RET species-voucher specimens should be collected along-with GPS readings to facilitate rehabilitation. RET faunal species to be classified as per IUCN Red Data list and as per different schedule of Indian Wildlife (Protection) Act, 1972.
- Existence of barriers and corridors, if any, for wild animals.
- Compensatory afforestation to compensate the green belt area that will be removed, if any, as part of the proposed project development and loss of biodiversity.
- Collection of primary data on agricultural activity, crop and their productivity and irrigation facilities components.

D Aquatic Ecology

- Documentation of aquatic fauna like macro-invertebrates, zooplankton, phytoplanktons, benthos etc.
- Fish and fisheries, their migration and breeding grounds.
- Fish diversity composition and maximum length & weight of the measured populations to be studied for estimation of environmental flow.
- Conservation status of aquatic fauna.

E Socio-Economic

- Collection of baseline data on human settlements, health status of the community and existing infrastructure facilities for social welfare including sources of livelihood, job opportunities and safety and security of workers and surroundings population.
- Collection of information with respect to social awareness about the developmental activity in the area and social welfare measures existing and proposed by project proponent.
- Collection of information on sensitive habitat of historical, cultural and religious and ecological importance.
- The socio-economic survey/ profile within 10 km of the study area for demographic profile; Economic Structure; Developmental Profile; Agricultural Practices; Infrastructure, education facilities; health and sanitation facilities; available communication network etc.
- Documentation of demographic, Ethnographic, Economic Structure and development profile of the area.
- Information on Agricultural Practices, Cultural and aesthetic sites, Infrastructure facilities etc.



- Information on the dependence of the local people on minor forest produce and their cattle grazing rights in the forest land.
- List of all the Project Affected Families with their name, age, educational qualification, family size, sex, religion, caste, sources of income, land & house holdings, other properties, occupation, source of income, house/land to be acquired for the project and house/land left with the family, any other property, possession of cattle, type of house etc.
- In addition to socio-economic aspects of the study area, a separate chapter on socio-cultural aspects based upon study on Ethnography of the area should be provided.

(7) Impact Prediction and Mitigation Measures

The adverse impact due to the proposed project should be assessed and effective mitigation steps to abate these impacts should be described.

(i) Air Environment

- Changes in ambient and ground level concentrations due to total emissions from point, line and area sources.
- Effect on soil, material, vegetation and human health.
- Impact of emissions from DG set used for power during the construction, if any, on air environment.
- Pollution due to fuel combustion in equipments and vehicles
- Fugitive emissions from various sources
- Impact on micro-climate

(ii) Water Environment

- Changes in surface and ground water quality
- Steps to develop pisci-culture and recreational facilities
- Changes in hydraulic regime and downstream flow.
- Water pollution due to disposal of sewage
- Water pollution from labour colonies/ camps and washing equipment.

(iii) Land Environment

- Adverse impact on land stability, catchment of soil erosion, reservoir sedimentation and spring flow (if any) (a) due to considerable road construction / widening activity (b) interference of reservoir with the inflowing stream (c) blasting for commissioning of HRT, TRT and some other structures.
- Changes in land use / land cover and drainage pattern.
- Immigration of labour population.
- Quarrying operation and muck disposal.
- Changes in land quality including effects of waste disposal.
- River bank and their stability.
- Impact due to submergence.

(iv) Biological Environment

- Impact on forests, flora, fauna including wildlife, migratory avi-fauna, rare and endangered species, medicinal plants etc.
- Pressure on existing natural resources.
- Deforestation and disturbance to wildlife, habitat fragmentation and wild animal's migratory corridors.
- Compensatory afforestation-identification of suitable native tree species for compensatory afforestation and green belt.
- Impact on fish migration and habitat degradation due to decreased flow of water.
- Impact on breeding and nesting grounds of animals and fish.

(v) Socio-economic aspects

- Impact on local community including demographic profile.
- Impact on socio-economic status.
- Impact on economic status.
- Impact on human health due to water / vector borne disease
- Impact on increase traffic.
- Impact on Holy Places and Tourism.
- Impacts of blasting activity during project construction which generally destabilize the land mass and leads to landslides, damage to properties and drying up of natural springs and cause noise population will be studies. Proper record shall be maintained of the baseline information in the post project period.
- Positive and negative impacts likely to be accrued due to the project are listed.

(8) Environmental Management Plans

- **Catchment Area Treatment (CAT) Plan** should be prepared micro-watershed wise. Identification of free draining/ directly draining catchment based upon Remote Sensing and Geographical Information System (GIS) methodology and Sediment Yield Index (SYI) method of AISLUS, Deptt. of Agriculture, Govt. of India coupled with ground survey. Areas or watersheds falling under 'very severe' and 'severe' erosion categories should be provided and required to be treated. Both biological as well as engineering measures should be proposed in consultation with State Forest Department for areas requiring treatment. Year-wise schedule of work and monetary allocation should be provided. Mitigation measures to check shifting cultivation in the catchment area with provision for alternative and better agricultural practices should be included.
- **Command Area Development (CAD) Plan** giving details of implementation schedule with a sample CAD plan.
- **Compensatory Afforestation** shall be prepared by the State Forest Department in lieu of the forest land proposed to be diverted for construction of the project as per the Forest (Conservation) Act, 1980.

Choice of plants for afforestation should include native and RET species, if any.

- **Biodiversity and Wildlife Conservation and Management Plan** for the conservation and preservation of rare, endangered or endemic floral/ faunal species or some National Park/Sanctuary/ Biosphere Reserve or other protected area is going to get affected directly or indirectly by construction of the project, then suitable conservation measures should be prepared in consultation with the State Forest Department.
- **Fisheries Conservation and Management Plan** - a specific fisheries management measures should be prepared for river and reservoir. If the construction of fish ladder/ fish-way etc. is not feasible then measures for reservoir fisheries will be proposed. The plan will detail out the number of hatcheries, nurseries, rearing ponds etc. proposed under the plan with proper drawings. If any migratory fish species is getting affected then the migratory routes, time/season of upstream and downstream migration, spawning grounds etc will be discussed in details.
- **Resettlement and Rehabilitation Plan** needed to be prepared on the basis of findings of the socio-economic survey coupled with the outcome of public consultation held. The R&R package shall be prepared after consultation with the representatives of the project affected families and the State Government. Detailed budgetary estimates are to be provided. Resettlements site should be identified. The plan will also incorporate community development strategies. *R&R Plan is to be formulated as per Land Acquisition, Rehabilitation and Resettlement Act, 2013 which came into force w.e.f. 1.1.2014.*
- **Green Belt Development Plan** along the periphery of the reservoir, approach roads around the colonies and other project components, local plant species must be suggested with physical and financial details. Local plant species suitable for greenbelt should be selected.
- **Reservoir Rim Treatment Plan** for stabilization of land slide/ land slip zones, if any, around the reservoir periphery is to be prepared based on detailed survey of geology of the reservoir rim area. Suitable engineering and biological measures for treatment of identified slip zones to be suggested with physical and financial schedule.
- **Muck Disposal Plan** suitable sites for dumping of excavated materials should be identified in consultation with State Pollution Control Board and State Forest Department. All muck disposal sites should be minimum 30 in away from the HFL of river. Plan for rehabilitation of muck disposal sites should also be given. The L-section/cross section of muck disposal sites and approach roads should be given. The plan shall have physical and financial details of the measures proposed.

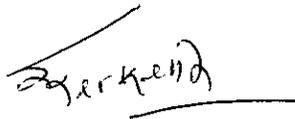
- **Restoration Plan for Quarry Sites and landscaping** of colony areas, working areas, roads etc. Details of the coarse/fine aggregate/clay etc. required for construction of the project and the rock/clay quarries/river shoal sites identified for the project should be discussed along-with the Engineering and Biological measures proposed for their restoration with physical and financial details. Layout map showing quarry sites vis-à-vis other project components, should be prepared.
- **Study of Design Earthquake Parameters:** A site specific study of earthquake parameters should be done. Results of the site specific earthquake design parameters should be approved by National Committee of Seismic Design Parameters, Central Water Commission (NCSDP), New Delhi.
- **Dam Break Analysis and Disaster Management Plan** The outputs of dam break model should be illustrated with appropriate graphs and maps clearly bringing out the impact of Dam Break scenario. The action plan will include Emergency Action and Management plan including measures like preventive action notification, warning procedure and action plan for co-ordination with various authorities.
- **Water, Air and Noise Management Plans** to be implemented during construction and post-construction periods.
- Mitigating measures for **impacts due to Blasting** on the structures in the vicinity.
- **Ground Water Management Plan.**
- **Public Health Delivery Plan** including the provisions of drinking water supply for local community.
- **Labour Management Plan** for their Health and Safety.
- **Sanitation and Solid waste Management plan** for domestic waste from colonies and labour camps etc.
- **Local Area Development Plan** to be formulated in consultation with the Revenue Officials and Village Panchayats. Local skill development schemes should be given. Details of various activities to be undertaken along with its financial out lay should be provided.
- Environmental safeguards during construction including Road Construction.
- **Energy Conservation Measures.**
- **Environmental Monitoring Programme** with physical & financial details covering all the aspects of EMP. A summary of Cost Estimates for all the plans, cost for implementing all the Environmental Management Plans.

(9) In the EMP, a sample CAD plan for a distributary outlet command is also included. Such a plan is to show the alignment of irrigation and

drainage channels. The components of the On Farm Development (OFD) works to be undertaken may be clearly mentioned along with a time schedule for their completion vis-&-vis the progress of irrigation development.

(10) Additional ToR

- i. Three (3) season's data should be collected for the entire project.
- ii. Land acquired for the project shall be suitably compensated in accordance with the law of the land with the prevailing guidelines. Private land shall be acquired as per provision of Right to Fair Compensation and Transparency in Land acquisition, Rehabilitation and Resettlement Act, 2013.
- iii. The project involves about 238.38 ha of forest land. Forest clearance should be obtained as per the prevailing norms of FC Act, 1980.

A handwritten signature in black ink, appearing to read 'S. K. K. K.', is written over a horizontal line.

F.No.22-65/2017-IA.III
Government of India
Ministry of Environment, Forest and Climate Change
Impact Assessment Division

Indira Paryavaran Bhawan
Jor Bagh Road, Aliganj
New Delhi – 110003

Dated: 1st May, 2018

Office Memorandum

Sub: Corporate Environment Responsibility (CER) – reg.

The Environment Impact Assessment (EIA) Notification, 2006, issued under the Environment (Protection) Act, 1986, as amended from time to time, prescribes the process for granting prior environment clearance (EC) in respect of certain development projects/activities listed out in the Schedule to the Notification.

1. Sustainable development has many important facets/components like social, economic, environmental, etc. All these components are closely inter-related and mutually re-enforcing. Therefore, the general structure of EIA document, under Appendix-III to the notification, prescribes inter-alia public consultation, social impact assessment and R&R action plan besides environment management plan (EMP).

2. Section 135 of the Companies Act, 2013 deals with Corporate Social Responsibility (CSR) and Schedule-VII of the Act lists out the activities which may be included by companies in their CSR Policies. The concept of CSR as provided for in the Companies Act, 2013 and covered under the Companies (Corporate Social Responsibility Policy) Rules, 2014 comes into effect only in case of companies having operating projects and making net profit as also subject to other stipulations contained in the aforesaid Act and Rules. The environment clearance given to a project may involve a situation where the concerned company is yet to make any net profit and/or is not covered under the purview of the aforesaid Act and Rules. In such cases, the provisions of aforesaid act and Rules will not apply.



4. In the past, it has been observed that different Expert Appraisal Committees / State Expert Appraisal Committees (EACs/SEACs) have been prescribing different formulation of the Corporate Environment Responsibility (CER) and no common principles are followed. Several suggestions have also been received in this regard which inter-alia states that Greenfield projects and Brownfield projects should be treated differently; no CER should be prescribed whereas there is no increase in air pollution load, R&R, etc., besides streamlining percentage of CER.

5. The Ministry has carried out a detailed stakeholder consultation which inter-alia included meeting with Ministry of Petroleum & Natural Gas, Ministry of Power, Chairmen EACs, FICCI, ASSOCHAM, Gujarat Chamber of Commerce and Industry amongst others.

6. In order to have transparency and uniformity while recommending CER by Expert Appraisal Committee (EAC) / State level Expert Appraisal Committee (SEAC) / District level Expert Appraisal Committee (DEAC), the following guidelines are issued:

- (I) The cost of CER is to be in addition to the cost envisaged for the implementation of the EIA/EMP which includes the measures for the pollution control, environmental protection and conservation, R&R, wildlife and forest conservation/protection measures including the NPV and Compensatory Aforestation, required, if any, and any other activities, to be derived as part of the EIA process.
- (II) The fund allocation for the CER shall be deliberated in the EAC or SEAC or DEAC, as the case may be, with a due diligence subject to **maximum percentage** as prescribed below for different cases:

S.No	Capital Investment / Additional Capital Investment (in Rs)	Greenfield Project - % of Capital Investment	Brownfield Project - % of Additional Capital Investment
I	II	III	IV
1.	≤ 100 crores	2.0%	1.0%
2.	> 100 crores to ≤ 500 crores	1.5%	0.75%
3.	> 500 crores to ≤ 1000 crores	1.0%	0.50%
4.	> From 1000 crores to ≤ 10000 crores	0.5%	0.25%
5.	> 10000 crores	0.25%	0.125%

- (III) The activities proposed under CER shall be worked out based on the issues raised during the public hearing, social need assessment, R&R plan, EMP, etc.
- (IV) The proposed activities shall be restricted to the affected area around the project.
- (V) Some of the activities which can be carried out in CER, are infrastructure creation for drinking water supply, sanitation, health, education, skill development, roads, cross drains, electrification including solar power, solid waste management facilities, scientific support and awareness to local farmers to increase yield of crop and fodder, rain water harvesting, soil moisture conservation works, avenue plantation, plantation in community areas, etc.
- (VI) The entire activities proposed under the CER shall be treated as project and shall be monitored. The monitoring report shall be submitted to the regional office as a part of half-yearly compliance report, and to the District Collector. It should be posted on the website of the project proponent.
- (VII) The District Collector may add or delete the activities as per the requirement of the District.
- (VIII) The EAC can vary the above percentage of CER subject to proper diligence, quantification and justification. The EAC based on appraisal, should clearly suggest the activities to be carried out under CER.
- (IX) This CER is not applicable in name change, transfer and amendment involving no additional project investment. In case of amendment in EC involving additional expenditure, CER will be applicable only on the additional expenditure as per column-IV of the table given in para 6(II) above.

7. This issues in supersession of all earlier OMs and guidelines issued in this regard.

8. This issues with the approval of competent authority.



(Sharath Kumar Pallerla)
Director (IA-III-Policy)

1. Chairman, CPCB
2. Chairmen of all the Expert Appraisal Committees
3. Chairperson/Member Secretaries of all the SEIAA/SEACs
4. Chairpersons/Member Secretaries of all SPCBs/UTPCCs
5. All the officers of IA Division

Copy for information to:

1. PS to Minister for Environment, Forest and Climate Change
2. PS to MoS (EF&CC)
3. PPS to Secretary (EF&CC)
4. PPS to AS(AKJ) / AS(AKM)
5. PPS to JS(GB) / JS(JT)
6. Website, MoEF&CC
7. Guard File.