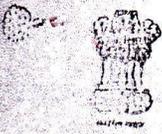


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GOVERNMENT OF INDIA
MINISTRY OF ROAD TRANSPORT & HIGHWAYS

Transport Bhawan,
1, Parliament Street,
New Delhi-110 001

No. RW/NH-37015/22/2013/NHDP-IVA

Dated 28 October, 2013

To
The Principal Secretary to Govt. of Uttar Pradesh,
Public Works Department, Lucknow.

Subject: Rehabilitation and upgradation of NH-28C from km 0.000 to 43.000 [Barabanki to Farwal road junction section] in the State of Uttar Pradesh to two-lanes with paved shoulders under NHDP-IV on EPC basis.

(Job No. 28C-UP-NHDPIV-2013-14-005)

Reference: Letter no. 4763/NH/886N/12 dated 11/7/13 from CE (NH), UP PWD, Lucknow.

Sir,

I am directed to convey the Technical Approval, Administrative Approval and Financial Sanction of the President of India to the estimate for the project mentioned in the subject above as per the following details; subject to the conditions stipulated in the attached technical note:

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(i)	Estimated cost of work as submitted by UP PWD	: Rs. 319.52 crores
(ii)	Estimated cost of work as modified in the Ministry	: Rs. 317.76 crores
(iii)	Estimated cost of work as per technical approval	: Rs. 317.76 crores
(iv)	Estimated cost of work as per financial sanction	: Rs. 317.76 crores

(Rupees Three Hundred Seventeen Crore and Seventy Six lakhs only)

2. This sanction is further subject to the following conditions:

2.1 The work should be implemented as per the target indicated in the enclosed technical note to ensure that there shall not be any cost over-run due to time over-run. In order to ensure that there is no slippage in achieving the targets, the progress may be closely monitored by CE (NH), UP PWD and quarterly status report furnished to the Ministry in the prescribed proforma. Stipulations made in the technical note shall be strictly adhered to.

2.2 On completion of 50% work, if appraisal of the project shows that it will necessitate revision of the estimate based upon the physical completion of the project vis-à-vis financial expenditure, immediate action should be taken to submit the revised estimate so as to ensure that the sanctioned estimate is available before necessity for incurring expenditure beyond the permissible limits arises.

2.3 No work beyond the scope of the sanctioned estimate leading to increase in the scope of the work or change in specification should be undertaken without obtaining prior written approval of the Ministry. In case, the estimate needs revision due to change in rates/tender rates for any reasons, the revised estimate shall be submitted immediately for Ministry's approval. In this connection, this Ministry's letter No. RW/NHIII/Coord/32/84 dated 19.5.84 and NH/11026/2/99-US (DI) dated 29.10.2001 may be referred.

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[Signature]

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N.H. Circle, PWD, L.K.O.



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2.4 The provision of contingencies should be utilized as per Ministry's circular letter no. KW/G-20011/8/98-WA(R) dated 16.08.2002 with the approval of the Ministry. The estimates for carrying out the activities under the provision of contingencies including change in scope of work will be approved by Ministry on assurance/certification by Authority's Engineer/CE (NH), UP PWD that the amount provided against contingencies is available and the same has not been indirectly utilized by accepting higher tender rates. Authority's Engineer/CE (NH), UP PWD shall also certify that approval of estimates to be charged to contingencies will not cause revision of the sanctioned estimate.

3. Invitation of tenders and award of works:

3.1 The advertisement for invitation of tenders shall be done as per modified procedure circulated to State PWDs in the Ministry's letter no. KW/NH-11024/3/99-US(D.I) dated 09.01.2000 and the cost incurred under the provision of contingencies as per para 1.1 of Ministry's letter no. RW/G-20011/8/98-WA dated 16.08.2002.

3.2 RFP from the pre-qualified bidders [who will be eligible/qualified as per the RFAQ finalized by the Ministry upto the last date of bid submission] for instant work shall mandatorily be invited on e-tendering platform in accordance with the instructions issued by the Ministry vide Ministry's letter no. RW/NH-33044/2/2010-S&R (R) dated 17.11.2011.

3.3 No procedures/guidelines for acceptance of tenders and award of works to be executed on EPC mode have been issued by the Ministry. Following procedure, as approved by the Competent Authority, is to be adopted for award of works:

3.3.1 RFP to be invited, received and opened by CE (NH), UP PWD from pre-qualified bidders. RFP may be evaluated initially by Evaluation Committee with following composition:

- CE (NH), UP PWD - Chairperson
- R.O., MoRT&H, Lucknow - Member
- SE, NH Circle, UP PWD - Member
- EE, NH Division, UP PWD - Member-Secretary

3.3.2 Thereafter, the evaluation carried out by the above Evaluation Committee shall be put for examination of the Screening Committee to be constituted in the Ministry with the following composition:

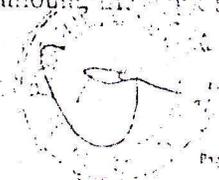
- CE (NHDP-IVA) - Chairperson
- CE (NH), UP PWD - Member
- SE (NHDP-IVA) - Member
- R.O., MoRT&H, Lucknow - Member
- Representative of IFD - Member
- SE, NH Circle, UP PWD - Member Secretary

3.3.3 LoA will be issued by CE (NH), UP PWD to the lowest qualified bidder after approval of the lowest quoted qualified bid by the Competent Authority in the Ministry based on the recommendations of the above-mentioned Screening Committee.

3.4 In accordance with the order contained in the Ministry's circular no. KW/NH-11024/2/99-US(P) dated 13.01.2000, if the cost of tender exceeds 5% of the sanctioned amount, work shall be got de-sanctioned and fresh estimate be got sanctioned from the Ministry.

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A.E.

CE.
N.H. Circle, PWD, L.K.O.



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3.5 The time limit for awarding of this work is 6 months from the date of sanction and should be strictly followed as per the instructions communicated to all State PWDs vide this Ministry's letter no. RW/NH-15015/29/2001-PL dated 05.07.2001 and compliance reported to the Ministry. If the work is not awarded within the stipulated time, it may lead to de-sanction of the work unless Ministry's prior approval to extension of the time limit is obtained.

3.6 CE (NH), UP PWD will intimate this Ministry about award of contract/commencement of work immediately as per instructions contained in this Ministry's letter no. RW/NH-11060/1/98-(DO-I) dated 05.11.1998.

4. The expenditure is debitable to Escrow Account for NHDP-IV being maintained by NHAI. The terms and conditions of payment by NHAI are as per mechanism for disbursement and operation of Escrow Account for NHDP-IV communicated vide Ministry's letter no. RW/NH/24031/1/2008/PPP (F) dated 10.07.2009.

5. Following cumulative physical and financial targets shall be strictly adhered to subject to overall availability of funds:

Year	Physical	Cumulative progress			
		Financial (Rs. in cr.)			
		Construction	LA and other pre-construction	Maintenance	Total
2013-14	Preliminary	5.00	15.00	0.00	20.00
2014-15	50%	140.00	23.10	0.00	163.10
2015-16	100%	286.27	23.10	0.00	309.37
2016-17	100%	286.27	23.10	3.59	312.96
2017-18	100%	286.27	23.10	8.39	317.76

6. The sanction issues with the concurrence of the Internal Finance Division vide their U.O. No. 1541/TF-II dated 22.08.2013.

Encl. As above

Yours faithfully,

(Shivakant Kumar)

Under Secretary to the Government of India

Copy with a copy of Technical Note forwarded to:-

- The Chairman, NHAI [Kind Attn.: Member (Finance)], Dwarka, New Delhi.
- The Principal Director of Audit, Economics & Services Ministries, IP Estate, New Delhi.
- The Regional Officer, MoRT&H, Lucknow
- The Regional Officer (Mechanical), MoRT&H, Lucknow
- The Accountant General, Government of Uttar Pradesh, Lucknow.
- The Chief Engineer (National Highways), UP PWD, Lucknow.
- The Executive Engineer, NH Division, UP PWD, Lucknow.

Encl. As above

(Shivakant Kumar)

Under Secretary to the Government of India

Copy with a copy of Technical Note also forwarded to:

CE (NHDP-IVA), CE (P-2)/CE (P&M)/W&A Section/FW/Sanction Order Folder

N.H. Circle, PWD, L.K.O.

